


See for \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
RESPONSE TO NOTICE TO FILE MISSING PARTS	Atty. Docket No. (Opt.) CROSS1360-1
Applicant STEVE KING, ET AL.	
Application Number 09/707,428	Filed 11/07/2000
For METHOD OF ROUTING HTTP AND FTP SERVICES ACROSS HETEROGENEOUS NETWORKS	
Group Art Unit	Examiner



Box Missing Parts
Hon. Asst. Commissioner of Patents
Washington, D.C. 20231


Dear Sir:

<p align="center"><u>CERTIFICATION UNDER 37 C.F.R. § 1.8</u></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on February 22, 2001.</p> <p align="center"> Kerry Thornhill</p>

In response to the Notice to File Missing Parts dated January 23, 2001, please find enclosed a copy of the executed Declaration and Power of Attorney and return receipt postcard submitted with the initial patent application filing on November 7, 2000.

Since the Notice was issued in error, no fee is believed to be due, however, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0456.

Respectfully submitted,
GRAY CARY WARE ▲ FREIDENRICH LLP


Mark L. Berrier
Reg. No. 35,066

22
Date: February 21, 2001

100 Congress Avenue, Suite 1440
Austin, TX 78701-4042
(512) 457-7016
FAX: (512) 457-7070



UNITED STATES PATENT AND TRADEMARK OFFICE

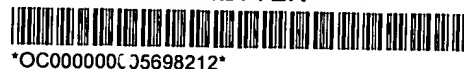
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/707,428	11/07/2000	Steve King	CROSS-1360-1

25094
GRAY, CARY, WARE & FREIDENRICH
100 CONGRESS AVE
SUITE 1440
AUSTIN, TX 78701-4042



FORMALITIES LETTER



OC000000CJ5698212

Date Mailed: 01/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09707428


03/01/2001 BHABTEW 00000039 500456

130.00 CH

01 FC:105

1/22/01



The "Received" stamp of the PTO imprinted hereon acknowledges the filing of:	
<input checked="" type="checkbox"/> New Application: <u>Utility</u> <input checked="" type="checkbox"/> Assignment w/ Cover Sheet (PTO-1595) <input checked="" type="checkbox"/> Declaration/Power of Attorney <input type="checkbox"/> Verified Statement <input checked="" type="checkbox"/> Formal Drawings <u>4</u> pgs. <input type="checkbox"/> Informal Drawings _____ pgs. <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certificate of Mailing <input checked="" type="checkbox"/> Pages of Spec. _____, No. of Claims _____	<input type="checkbox"/> Amendment/Response _____ <input type="checkbox"/> Extension of Time for _____ Months <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Issue Fee <input checked="" type="checkbox"/> Check No. _____ <input type="checkbox"/> Serial No. _____ <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> PCT Power of Attorney <input checked="" type="checkbox"/> Other: <u>Fee Transmittal</u>
Name of Inventor(s): <u>Steve King, et al.</u>	Receipt Date and Serial No.
Title of Invention: <u>Method for Routing HTTP+FTP Services Across Heterogeneous Networks</u>	JC813 U.S. PTO 09/707428
Client Name and Docket No. <u>Crossroads Systems, Inc. CROSS 1360-1</u>	 11/07/00
Mailed: <u>11/7/00</u> Atty/Sec. <u>MLB/KT</u>	
Due:	
Express Mail No. <u>EL5625616A8US</u>	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
CERTIFICATE OF MAILING BY "EXPRESS MAIL"	Atty Docket No. (Optional) CROSS1360-1
Attn: Box Patent Application Hon. Asst. Commissioner of Patents Washington, D.C. 20231	In the Application of: STEVE KING, ET AL.
	Date Filed: November 7, 2000
	Title: A Method for Routing HTTP and FTP Services Across Heterogeneous Networks

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL562561698US, on November 7, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.



Respectfully submitted,

GRAY CARY WARE ▲ FREIDENRICH LLP


 Kerry Thornhill

Enclosures:

Postcard
 Check Nos. 459332 & 459331
 Utility Patent Application Transmittal
 Fee Transmittal for FY 2001
 Specification, 29 Claims, Abstract (31 pages)
 4 Sheets of Drawings (Figures 1-4)
 Declaration and Power of Attorney
 Form PTO-1595
 Assignment

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR CORRECTED FILING RECEIPT

Atty. Docket No.
(Optional)

CROSS1360-1



In re Application of
STEVE KING, ET AL.

Application Number
09/707,428

Filed
11/07/2000

For
**METHOD OF ROUTING HTTP AND FTP SERVICES
ACROSS HETEROGENEOUS NETWORKS**

Group Art Unit

Examiner

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on February 22, 2001.

Kerry Thornhill

Hon. Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

☒

incorrectly entered

and/or

☐

omitted

Error in

Correct data

- A. ☐ Applicant's name
- B. ☐ Applicant's address
- C. ☒ Title
- D. ☐ Filing Date
- E. ☐ Application Number
- F. ☐ Other

- A.
- B.
- C. **Please change "fo" to read --for--.**
- D.
- E.
- F.

The correction(s) is/are not due to any error by applicant(s) and no fee is due.

2/22/01

Date

Signature

Mark L. Berrier

Typed or Printed Name

Registration Number: **35,066**



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

JAN 29 2001

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DR. WINGS	TOT CLAIMS	IND CLAIMS
09/707,428	11/07/2000	2152	872	CROSS-1360-1	4	29	3

 25094
 GRAY, CARY, WARE & FREIDENRICH
 100 CONGRESS AVE
 SUITE 1440
 AUSTIN, TX 78701-4042


FILING RECEIPT



OC000000005698211

Date Mailed: 01/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Steve King, Portland, OR ;
 Paul M. Stillwell JR., Aloha, OR ;
 Chiayin Mao, Beaverton, OR ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/202,717 05/08/2000

Foreign Applications

If Required, Foreign Filing License Granted 01/22/2001

Title

Method ^{for} routing HTTP and FTP services across heterogeneous networks

Preliminary Class

709

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 01/23/2001

